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Page 1 of 1

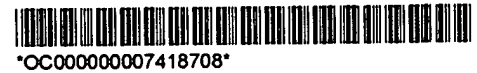
# 3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,490	12/28/2001	Bernd Clauberg	US702364 (7790/69)

CONFIRMATION NO. 6482

FORMALITIES LETTER



BANIAK NICHOLAS PINE & GANNON  
SUITE 2000  
1603 ORRINGTON AVENUE  
EVANSTON, IL 60201

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

*A copy of this notice **MUST** be returned with the reply.*

*Karla Quintana*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10037490  
04/17/2002 WARRHW1 00000027 501713  
01 FC:101 740.00 CH  
02 FC:102 84.00 CH  
03 FC:105 130.00 CH



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PATENT  
Case No.: US702364 (7790/69)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

BERND CLAUBERG, ROBERT A. ERHARDT

Serial No.: 10/037,490

Filed: DECEMBER 28, 2001

Title: LIGHT EMITTING DIODE DRIVER

Examiner:

Group Art Unit: 2879

# 3

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)  
Filing Date Granted**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts Application, Filing Date Granted, dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents to complete the filing requirements of the above-identified application.

- ☒ Executed Combined Declaration and Power of Attorney
- ☐ Statement Claiming Small Entity Status-(37 CFR 1.9(f) & 1.27(b)) – Independent Inventor
- ☒ Charge Deposit Account No. 50-1713 in the amount of \$954 for: Missing Parts Fees
- ☒ \$740 Filing fee [\$370 small entity; \$740 large entity (design \$165, \$330)]
- ☒ \$84 Additional Claim Fees
- ☒ \$130 Missing Parts Surcharge

Petition for Extension of Time to reply to the Notice of Missing Parts (and fee)

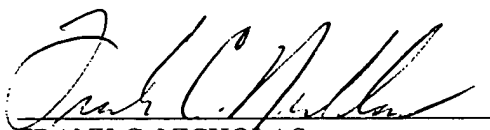
- |                                     |   |          |                                       |   |            |
|-------------------------------------|---|----------|---------------------------------------|---|------------|
| <input type="checkbox"/> one month  | = | \$110.00 | <input type="checkbox"/> three months | = | \$920.00   |
| <input type="checkbox"/> two months | = | \$400.00 | <input type="checkbox"/> four months  | = | \$1,440.00 |

- ☒ Other: 37 C.F.R. 1.33 Change of Correspondence.
- ☒ The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment to Deposit Account No. 50-1713. A duplicate copy of this paper is enclosed.

Dated: APRIL 2, 2002

Respectfully submitted,

CARDINAL LAW GROUP  
1603 Orrington Avenue, Suite 2000  
Evanston, Illinois 60201  
(847) 905-7111

  
FRANK C. NICHOLAS  
Registration No. 33,983

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on APRIL 2, 2002.

APRIL 2, 2002  
Date

  
FRANK C. NICHOLAS (33,983)

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# TRANSMITTAL FORM

APR 12 2002

Used for all correspondence after initial filing)

Attorney Docket No.	US702364 (7790/69)
Application Number	10/037,490
Filing Date	DECEMBER 28, 2001
First Named Inventor	BERND CLAUBERG
Group Art Unit	2879
Examiner	

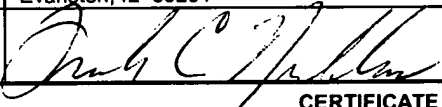
## ENCLOSURES (check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Amendment/Response to Restriction/Election Req.<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Extension of Time Request (duplic)<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement, PTO-1449, art<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawings:<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input checked="" type="checkbox"/> Combined Declaration and Power of Attorney, 37 CFR 1.33 Change of Correspondence<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request of Refund<br><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed. | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input checked="" type="checkbox"/> Post Card Receipt<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><input checked="" type="checkbox"/> Notice To File Missing Parts of Nonprovisional Application<br><input type="checkbox"/><br><input type="checkbox"/> |
|---|--|--|

## CALCULATION OF FEE

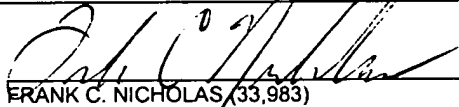
				Small Entity		or	Large Entity	
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=	0	x \$18=	
Indep.		Minus			x \$42=	0	x \$84=	
First Presentation of Multiple Dep. Claim					+ \$140=	--	+ \$280=	
					total add'l fee	\$ 0	total add'l fee	\$ 0

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 Cardinal Law Group 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date:	APRIL 2, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: APRIL 2, 2002

Signature	 FRANK C. NICHOLAS (33,983)	Date:	APRIL 2, 2002
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